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001/005

Atty Docket No. 023070-127310US

PTO FAX NO.: 1-571-273-8300

ATTENTION: Group Art Unit 1638

**OFFICIAL COMMUNICATION  
FOR THE PERSONAL ATTENTION OF  
GROUP ART UNIT 1638**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

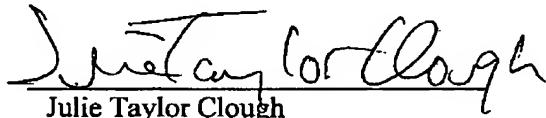
I hereby certify that the following documents in re Application of Huazhong Shi, Application No. 10/520,497, filed September 14, 2005 for IMPROVED TRANSPORTERS AND THEIR USES are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Power of Attorney
2. Statement Under 37 CFR 3.73(b)

Number of pages being transmitted, including this page: 5

Dated: October 27, 2006

  
Julie Taylor Clough

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY  
RETURN FACSIMILE AT (415) 576-0300**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, CA 94111-3834  
Telephone: 415-576-0200  
Fax: 415-576-0300  
3045

60902704 v1

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PTO/SB/80 (12-08)

## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:

 Practitioners associated with the Customer Number

20350

OR

 Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

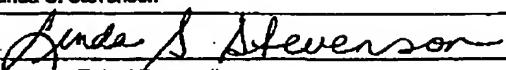
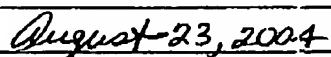
## Assignee Name and Address:

The Regents of the University of California  
111 Franklin Street, 12th Floor  
Oakland, CA 94607-5200

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

## SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Linda S. Stevenson		
Signature		Date	
Title	Manager, Patent Prosecution	Telephone	510-587-6000

60284577 v1

OCT 27 2006

PTO/SB/96 (12-05)

Attorney Docket No. 02307O-127310US

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Huazhong Shi, et al.Application No./Patent No./Control No.: 10/520,497 Filed/Issue Date: September 14, 2005Entitled: IMPROVED TRANSPORTERS AND THEIR USES

The Regents of the University of California, a California corporation  
 (Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or
2.  an assignee of less than the entire right, title and interest.  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

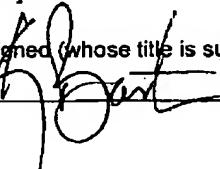
B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignments Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
 Signature

  
 Date

Kevin Bastian  
 Printed or Typed Name  
 Attorney for Applicants  
 Title

415-576-0200  
 Telephone Number

# COPY

## ASSIGNMENT

TTC Attorney Docket No. 023070-127310US

U.C. Case No. 2002-502-1

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For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Huazhong Shi \_\_\_\_\_ 2. Eduardo Blumwald \_\_\_\_\_

3. \_\_\_\_\_ 4. \_\_\_\_\_

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

IMPROVED TRANSPORTERS AND THEIR USES

and which is found in

(a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors

(b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above-named persons as inventors

(c) x \_\_\_\_\_ U.S. application serial no. 10/520,497, filed on September 14, 2005

(d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

12 day of October, 2006

Huazhong Shi

       day of       , 2006

Eduardo Blumwald

v 10/03  
60888892 v1

COPY

TTC Attorney Docket No. 023070-127310US

## **ASSIGNMENT**

U.C. Case No. 2002-502-1

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OCT 27 2006

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

## 1. Huazhong Shi

## 2. Eduardo Blumwald

4. Page 11 of 11

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12<sup>th</sup> Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## **IMPROVED TRANSPORTERS AND THEIR USES**

and which is found in

(a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors  
(b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above-named persons as inventors  
(c) x \_\_\_\_\_ U.S. application serial no. 10/520,497, filed on September 14, 2005  
(d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said Invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

**ASSIGNOR(S)** hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

**ASSIGNOR(S)** further covenants that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to **ASSIGNOR** and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

**Signature of Inventor(s)**

**Huazhong Shi**

08 day of October, 2006

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**Eduardo Blumwijk**